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Decision-maker

President

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Entity responsible for supervision and questions School of Engineering Sciences in Chemistry, Biotechnology and Health

# General syllabus for education at third-cycle level in the subject Fibre and Polymer Science

This regulatory document has been decided by the President (V-2017-0544) pursuant to chapter 6 sections 26-27 §§ of the Higher Education Ordinance. The regulatory document is valid with effect from 24-04-2017 and was last modified on 06-12-2023 (V-2023-0679). The regulatory document regulates the main content of the education, requirements for special qualifications and the other regulations that are needed. The School of School of Engineering Sciences in Chemistry, Biotechnology and Health is responsible for review and questions about the governing document.

# 1 Content of the education

# 1.1 The name of the subject in Swedish and in English translation

# Fiber- och polymervetenskap (Fibre and Polymer Science)

#### 1.2 Subject description

Fibre and Polymer Science is a third-cycle subject that integrates chemistry, physics and mathematics with engineering and covers all aspects of natural and synthetic polymers. Research in the subject is mainly focused on biocomposites, fibre technology, polymeric materials, polymer technology, wood chemistry and pulp technology, and surface treatment technology. Research covers monomer and polymer synthesis, characterisation, modelling/simulation, processing, long-term properties, material performance, degradation, and functional materials. Wood-based materials dominate the area of renewable raw materials, but agricultural raw materials are also becoming increasingly important. The research activities are gathered in four specific focus areas: materials from renewable resources, nanostructured materials, materials for medical applications, and materials in the energy field. Examples of research activities are the development of plastics, rubber, composites and fibre-based materials, chemical products such as adhesives or binders in paint, biomaterials, and the degradation of different materials with regard to long-term effects and environmental impact.

# 1.3 Specialisations

The subject has no specialisations.

# 1.4 Organisation of the education

1.4.1 Activities for fulfilment of outcomes for the education according to the Higher Education Ordinance (HF)

Below are described activities for the doctoral student's fulfilment of the learning outcomes for third-cycle education according to the Higher Education Ordinance (HF) and KTH's goals. The individual study plan specifies the activities for each individual doctoral student.

#### Outecomes: Knowledge and understanding

For the Degree of Doctor the doctoral student shall:

• Demonstrate broad knowledge and a systematic understanding of the research field as well as advanced and up-to-date specialist knowledge in a limited area of this field.

This goal can be achieved by the doctoral student continuously training and developing their ability to plan and carry out their own research, to acquire both broad and specialised knowledge from scientific literature relevant to the research area, and to actively present their own research results in the form of scientific publications and at national and international conferences, seminars or workshops. The student can take courses that build on knowledge from previous courses and that provide both broad and deep understanding of the research area, including seminar courses where the research work of other doctoral students and researchers is critically reviewed, analysed, and discussed, and the student will write and defend a doctoral thesis. It is mandatory to be examined on at least one specialised seminar course at doctoral level within the subject.

- Demonstrate familiarity with research methodology in general and the methods of the specific field of research in particular.
- This goal can be achieved by the doctoral student continuously training and developing their ability to identify, justify, and analyse relevant issues and the choice of appropriate methods, to acquire knowledge and thoroughly and critically review scientific work in their own research area, to practically apply different methods in their research, to read and be examined on courses, and to participate in workshops and scientific seminars with a methodological focus relevant to the subject and research area, as well as taking courses in, for example, scientific and research methodology.

For a Degree of Licentiate, the doctoral student shall:

• Demonstrate knowledge and understanding in the field of research including current specialist knowledge in a limited area of this field as well as specialised knowledge of research methodology in general and the methods of the specific field in particular.

This goal can be achieved by the doctoral student continuously training and developing their ability to plan and carry out their own research, to obtain knowledge from scientific literature relevant to the research area, and to actively present their own research results in the form of scientific publications and at national and international conferences, seminars or workshops. The student should take courses relevant to the research area, including seminar courses where the research work of other third-cycle students and researchers is critically reviewed, analysed and discussed, and the student will write and defend a licentiate thesis.

#### Outcome: Competence and skills

For the Degree of Doctor the doctoral student shall:

• Demonstrate the capacity for scholarly analysis and synthesis as well as to review and assess new and complex phenomena, issues and situations autonomously and critically.

This goal can be achieved by the doctoral student continuously training and developing their ability to independently interpret, analyse, discuss, and compile research results, to actively reflect on possible sources of error and alternative approaches to deal with complex issues, to carry out interdisciplinary activities and engage in interdisciplinary reasoning, to independently evaluate reasons why experiments have not yielded expected results and, based on these insights, to propose new ways to advance the research or issue and to test scientific hypotheses.

• Demonstrate the ability to identify and formulate issues with scholarly precision critically, autonomously and creatively, and to plan and use appropriate methods to undertake research and other qualified tasks within predetermined time frames and to review and evaluate such work.

This goal can be achieved by the doctoral student continuously training and developing their ability to independently plan and carry out relevant studies and experiments with clear objectives in a valid way and within time frames adequate for the task based on existing literature, their own experience, and reflection on their results, to formulate new scientific questions, hypotheses, and approaches to be answered and tested, and to compile their own results and relate these to the published results of others.

• Demonstrate through a dissertation the ability to make a significant contribution to the formation of knowledge through his or her own research.

Achievement of this goal is demonstrated by the doctoral student independently having planned and carried out experimental or theoretical studies on a sound and proven scientific basis and with scientific research methodology relevant to the research subject, analysed and critically reviewed their own results, and compiled these in written form as articles published in peerreviewed international scientific journals or as manuscripts of sufficiently good quality that they can be assumed to be publishable in peer-reviewed international scientific journals, summarised their own research results in a doctoral thesis, where these have also been put in relation to existing knowledge in the research area, and defended and discussed the results in a meritorious manner at a public defence.

• Demonstrate the ability in both national and international contexts to present and discuss research and research findings authoritatively in speech and writing and in dialogue with the academic community and society in general.

This goal can be achieved by the doctoral student continuously training and developing their ability to take personal responsibility for writing scientific work, to present their research results to both experts in the field and to a broader audience, to relate their own research results to the current state of knowledge in the research area and the industry in which the results can be applied, and to present their own research results with authority and in a pedagogic way to other researchers and students at academic seminars. Achievement can also be demonstrated for example by being examined in courses where presentation and discussion of their own research results are included as compulsory elements.

• Demonstrate the ability to identify the need for further knowledge.

This goal can be achieved by the doctoral student continuously training and developing their ability to stay informed and updated on national and international developments in their own research area and neighbouring areas, to critically reflect on how their own theoretical and methodological approaches relate to the overall knowledge base and leading edge research, and whether their own knowledge and methodology are adequate or need to be further developed, to identify and formulate questions that it is justifiable to investigate in order to further develop their own research project from a basic or applied research perspective, and which methods are suitable for the purpose, and to develop the ability to adapt their own perceptions based on the acquisition of new knowledge.

• Demonstrate the capacity to contribute to social development and support the learning of others both through research and education and in some other qualified professional capacity.

This goal can be achieved by the doctoral student continuously training and developing the ability to identify issues that can benefit the surrounding society, to communicate their own research results in writing, to present their work to, and discuss it with, other researchers at academic seminars and seminar courses, to collaborate with other researchers and interact with actors within and outside academia, to teach and supervise students in a pedagogic manner at first, second-, and third-cycle levels after having graduated from a compulsory course in higher education pedagogy at third-cycle level, and to present their own research results to the general community, for example, at meetings with industry actors, in popular science journals, or for pupils at primary or secondary school level.

For a Degree of Licentiate, the doctoral student shall:

• Demonstrate the ability to identify and formulate issues with scholarly precision critically, autonomously and creatively, and to plan and use appropriate methods to undertake a limited piece of research and other qualified tasks within predetermined time frames in order to contribute to the formation of knowledge as well as to evaluate this work.

This goal can be achieved by the doctoral student continuously training and developing the ability to independently plan and carry out defined research tasks with clear objectives within time frames adequate for the task, to formulate scientific questions, hypotheses, and approaches to be answered and tested based on existing literature and their own experience of, and reflections on, their own results, and to compile their own results and relate these to the published results of others.

• Demonstrate ability in both national and international contexts to present, discuss research, and research findings in speech and writing and in dialogue with the academic community and society in general.

This goal can be achieved by the doctoral student continuously training and developing the ability to take personal responsibility for writing scientific work, to present their research results to other researchers in the research area, to relate their own research results to the current state of knowledge in the research area and the industry in which the results can be applied, and to present their own research results in a pedagogic manner to other researchers and students at academic seminars. Achievement can also be demonstrated for example by being examined in courses

where presentation and discussion of their own research results are included as compulsory elements.

• Demonstrate the skills required to participate autonomously in research and development work and to work autonomously in some other qualified capacity.

This goal can be achieved by the doctoral student continuously practicing and developing the ability to communicate their own research results in writing in the form of scientific publications and a licentiate thesis, to pedagogically present to, and discuss with, other researchers within or outside academia, and to discuss and critically review their own and others' research results within the framework of compulsory seminar courses. Achievement towards the goal is supported by taking a compulsory course in higher education pedagogy at doctoral level.

#### Outcome: Judgement and approach

For the Degree of Doctor the doctoral student shall:

• Demonstrate intellectual autonomy and disciplinary rectitude as well as the ability to make assessments of research ethics.

Goal fulfilment includes examination of a subject course in research ethics. In addition to a mandatory subject course in research ethics, other courses with individual learning outcomes relating to ethics can contribute to further progression towards goal fulfilment. Progression towards the goal is also achieved by the doctoral student continuously training and developing the ability to independently formulate and critically review their own and others' research, to carry out research tasks in an honest and ethical manner, to make ethical assessments by reflecting on and dealing with any ethical dilemmas that may arise in their own research area and in research in general, and to demonstrate intellectual integrity by critically justifying and defending their own positions based on proven experience and scientific basis

• Demonstrate specialised insight into the possibilities and limitations of research, its role in society and the responsibility of the individual for how it is used.

This goal can be achieved by the research student continuously training and developing the ability to thoroughly reflect on both expected and unexpected results and handle the results adequately, to reflect on the opportunities and limitations of their own research project, and to reflect on the opportunities and limitations of their own research in a broader social science perspective.

For a Degree of Licentiate, the doctoral student shall:

• Demonstrate the ability to make assessments of ethical aspects of his or her own research.

Goal fulfilment includes an examination in a subject course in research ethics. In addition to a mandatory subject course in research ethics, other courses with individual learning outcomes in ethics can contribute to further progression towards goal fulfilment. Progression towards the goal is also achieved by the doctoral student continuously training and developing the ability to independently formulate and critically review their own research results, to carry out research tasks in an honest and ethical manner, and to make ethical judgements by reflecting on, and dealing with, issues that may arise in their own research and its execution.

• Demonstrate insight into the possibilities and limitations of research, its role in society and the responsibility of the individual for how it is used.

This goal can be achieved by the doctoral student continuously training and developing the ability to reflect on both expected and unexpected results and to handle the results adequately, reflecting on the opportunities and limitations of their own research project, as well as on the opportunities and limitations of their own research in a broader social science perspective.

• Demonstrate the ability to identify the personal need for further knowledge and take responsibility for his or her ongoing learning.

This goal can be achieved by the doctoral student continuously training and developing the ability to keep informed and updated on national and international developments in their own research area and neighbouring areas, to critically reflect on how their own theoretical and methodological approaches relate to the overall knowledge base and leading edge research and whether their own knowledge and methodology is adequate or needs to be developed, to identify and formulate questions that it is justifiable to investigate in order to further develop their own research project from a basic or applied research perspective, and which methods are suitable for the purpose, and to develop the ability to adapt their own perceptions based on the acquisition of new knowledge.

#### KTH's outcome in sustainable development

For both the Degree of Licentiate and the Degree of Doctor, the doctoral student shall:

• Demonstrate with knowledge and skills the ability to be able to contribute to sustainable societal development towards an equal, inclusive and climate-neutral society.

In addition to a compulsory subject course in sustainable development, other courses with individual learning outcomes in sustainable development can contribute to further progression towards goal fulfilment. This goal also includes education on gender equality, diversity, and equal opportunities as well as climate-neutral and climate-improving societal development. As further progression towards the goal, the doctoral student should continuously train and develop the ability to explain how their own research, behaviour, and approach take into account aspects of sustainable development, to critically evaluate and reflect on how their own research can be conducted in a sustainable manner by taking into account its direct or indirect economic, social, or environmental consequences and impact on the immediate or distant environment, and to, on their own initiative, acquire knowledge and reflect on sustainable development in a broader global perspective.

#### 1.4.2 Compulsory courses

To promote the fulfilment of the degree objectives, compulsory elements are included for both doctoral and licentiate research students.

The current range of courses is constantly evolving and may therefore vary over time. The school undertakes to compile and provide up-to-date information on courses and other course activities organised at its own school and, if necessary, to provide information on suitable courses and course activities outside the school and university.

1. Doctoral seminar courses for doctoral and licentiate degrees.

It is mandatory to study and be examined on at least one specialised seminar course at doctoral level within the subject. For the doctoral degree, students are recommended to take at least one additional course in the subject of chemistry with a further specialisation for broader knowledge. The seminar courses given within the programme are important tools to support progression towards the degree objectives of the Higher Education Ordinance as they provide both broad and specialised knowledge within the doctoral student's own and broader subject area, and provide skills in oral and visual communication of research results within the research results. The seminar courses take place regularly during term time and are led by experienced teachers actively engaged in research who have good knowledge of the research area, research premises, academic authorship, peer review, and publication strategies relevant to the research topic.

2. Third-cycle course in higher education pedagogy, at least 3.0 credits for doctoral and licentiate degrees.

Refers to a third-cycle subject course in higher education pedagogy established at a Swedish higher education institution, which should include both theoretical and practical elements of teaching and/or supervision. For doctoral students who are going to teach, it is recommended that the course be completed and approved before their own teaching begins. Examples of courses in higher education pedagogy offered by KTH can be found in Appendix 2. Suitable courses for doctoral students in the subject are Basic communication and teaching theory 3.0 credits and Supervision methodology for project work 6.0 credits; students with a doctoral degree as a goal are recommended to take both of these courses.

3. Third-cycle course in research ethics, at least 2.0 credits for doctoral and licentiate degrees.

Refers to a third-cycle course in research ethics/research integrity established at a Swedish university. Examples of third-cycle courses in research ethics offered at KTH can be found in Appendix 2. A suitable course for doctoral students in the subject is Research Integrity 2.0 credits.

4. Third-cycle course in sustainable development, at least 3.0 credits for doctoral and licentiate degrees.

Refers to a third-cycle subject course in sustainable development established at a Swedish university with learning outcomes specified in the syllabus for examination of knowledge and skills in sustainable development where the grade Pass is obtained. KTH's degree objectives for sustainable development also state that knowledge and skills are examined in the area of equality, diversity, and equal treatment, as well as society's climate transition and development towards climate neutrality. Examples of courses in sustainable development at third-cycle level offered at KTH can be found in Appendix 2. A suitable course for doctoral students in the subject is Engineering for a Sustainable Society 3.0 credits.

#### 1.4.3 Recommended courses

Recommended courses include established third-cycle courses in the third-cycle subject with a relevant research focus, courses in research methodology, presentation techniques, scientific writing and communication, and literature studies. Examples of current courses can be found in Appendix 2. Doctoral students should also actively search for and propose suitable learning activities that, in addition to the compulsory courses, aim at further progression towards the degree objectives and acquisition of necessary knowledge, and consult with their supervisors

and the Director of Third-Cycle Education or Programme Director about the suitability of the proposed course activities.

#### 1.4.4 Conditional elective courses

The general curriculum for this third-cycle subject area does not specify any conditional elective courses.

For courses that are not established or given at KTH, the following conditions apply: nonestablished courses can be included in the third-cycle degree. However, all courses and course activities that are not established by a Swedish university must be validated by the programme's third-cycle coordinator or programme coordinator before they can be included in the course part of the individual study plan for third-cycle studies and degree.

Within the framework of individual commitment, higher education credits can be obtained for completed and documented conference contributions. These refer to oral presentation, poster presentation, and pitch presentation. For each individual presentation form, higher education credits can be awarded on only one occasion, and the specific presentation must be included in the course part of the individual study plan for third-cycle studies

Online distance courses can be included in the individual syllabus provided that their quality can be verified by the doctoral student and their supervisor with the necessary documentation for validation. The scope, level, and mode of examination must be documented in the manner prescribed by general and local regulations. Any credit transfer is decided by the Director of Third-Cycle Education or Programme Director the third-cycle programme coordinator/programme coordinator.

#### A course already established at first or second cycle cannot be recognised as a third-cycle course.

1.4.5 Requirements for the degree

#### **Degree of Doctor**

A Degree of Doctor comprises 240 credits. At least 120 credits must consist of the doctoral thesis.

#### Normally, a doctoral degree in this subject includes a thesis comprising 180 credits.

Thesis

Quality requirements and possible other requirements for the thesis.

#### Composite thesis

The thesis should be based on research results that are of such quality that they are published in peer-reviewed scientific journals or can reasonably be judged to be worthy of publication in peer-reviewed scientific journals. A doctoral thesis in the subject normally comprises 180 higher education credits (three years of full-time studies) and has a recommended scope corresponding to four scientific articles, where the doctoral student is the main author of at least two articles, of which at least one is already accepted for publication in a peer-reviewed journal. However, the number of articles may vary depending on the scope, scientific quality and level, and the doctoral student's contribution to each work.

According to KTH's guidelines for third-cycle education, it is mandatory that a doctoral thesis is reviewed by a formally appointed preliminary reviewer in addition to the principal supervisor.

In cases where a doctoral thesis is based solely on work that has not yet been published or accepted for publication in international peer-reviewed scientific journals, the thesis must be reviewed by two additional independent researchers with good knowledge of the research area and by the Director of Third-Cycle Education, in addition to the supervisor and the mandatory pre-reviewer.

#### Monograph thesis

A doctoral thesis can also be written as a monograph, which is a single relatively comprehensive coherent scientific publication. Previous publications can be added as appendices to a monograph. The decision to approve this form of thesis is made by the Director of Third-Cycle Education. In cases where a monograph is considered applicable, its content must be of such a scientific level that the content as a whole, or most of it, can be considered to fulfil the requirements for publication in scientific journals of good international quality that apply peer review.

A monograph must be pre-reviewed by the principal supervisor, a formally appointed pre-reviewer, two independent researchers with good knowledge of the research area, and the Director of Third-Cycle Education.

#### Courses

The doctoral student shall have completed courses of at least 60 credits, of which 45 credits must be at third-cycle level and no more than 10 credits can be at first-cycle level.

Normally a doctoral degree in this subject has a course component of 60 higher education credits (one year of full-time studies) which includes at least 45 higher education credits at third-cycle level and a maximum of 15 higher education credits at second-cycle level.

#### Degree of Licentiate

A Degree of Licentiate comprises at least 120 credits. At least 60 credits must consist of the academic paper.

#### Normally, a licentiate degree in this subject includes an academic thesis comprising 90 credits.

# Thesis

Quality requirements and possible other requirements for the licentiate thesis.

#### Composite academic paper

The academic paper should be based on research results of such quality that they are, published in peer-reviewed scientific journals or can reasonably be judged to be worthy of publication in peer-reviewed scientific journals. An academic paper in this subject normally comprises 90 higher education credits (one and a half years of full-time studies) and has a recommended scope corresponding to two scientific articles, where the doctoral student is the main author of at least one article, of which at least one is already accepted for publication in a peer-reviewed journal. However, the number of articles may vary depending on the scope, scientific quality and level, and the doctoral student's contribution to each work.

According to KTH's guidelines for third-cycle education, it is mandatory that a licentiate academic paper be reviewed by a formally appointed preliminary reviewer in addition to the principal supervisor.

In cases where a licentiate academic paper is based solely on work that has not yet been published or accepted for publication in international peer-reviewed scientific journals, the academic paper must be reviewed by two additional independent researchers with good knowledge of the research area and by the Director of Third-cycle Studies, in addition to the supervisor and the mandatory pre-reviewer.

#### Monograph academic paper

A licentiate academic paper can also be written as a monograph, which is a single relatively comprehensive coherent scientific publication. Previous publications can be added as appendices to a monograph. The decision to approve this form of academic paper is made by the Director of Third-Cycle Education. In cases where a monograph is considered applicable, its content must be of such a scientific level that the content as a whole, or most of it, can be considered to fulfil the requirements for publication in scientific journals of good international quality that apply peer review.

A monograph academic paper must be pre-reviewed by the principal supervisor, a formally appointed pre-reviewer, an additional independent researcher with good knowledge of the research area, and the Director of Third-Cycle Education.

#### Courses

The doctoral student shall have completed courses of at least 30 credits, of which 15 credits must be at third-cycle level and no more than 10 credits can be at first-cycle level

Normally a licentiate degree in this subject has a course component of 30 higher education credits (half a year of full-time studies) which includes at least 15 higher education credits at third-cycle level and a maximum of 15 higher education credits at second-cycle level.

#### 1.4.6 Other elements in the education to promote and ensure goal fulfilment

Follow-up of the individual study plan for third-cycle studies It is mandatory for supervisors and doctoral students to jointly revise and update the individual syllabus regularly, at least once in every 12 month period. The individual syllabus shall be designed so that it ensures that the degree objectives of the Higher Education Ordinance and KTH's objectives can be fulfilled within the specified timeframe. The general syllabus shall be used as a guide in the work of designing and following up the individual syllabus. Progression towards goal fulfilment shall be evaluated by supervisors and research students at the mandatory follow-up of the individual syllabus. The doctoral student reflects on, exemplifies, and justifies how completed and ongoing study activities have promoted their progression since the last follow-up. Justification of progression shall be made in writing in the designated section of the electronic individual syllabus, preferably written by the doctoral student themselves. All elements of the programme, thesis work, courses, workshops, conferences, outreach activities, etc. must be taken into account.

The choice of courses and learning activities beyond those that are compulsory is made by agreement between the doctoral student and the supervisor. At the annual follow-up, planned courses and learning activities are entered into the individual syllabus for the coming year.

Half-time seminar. The seminar is compulsory and is held after half of the study period. Thirdcycle studies with a doctoral degree as the target qualification comprise 48 months of full-time studies (at 100% activity) if 0% departmental service is included, and 60 months of studies (at 80% activity) if 20% departmental service is included. Studies at third-cycle level with a licentiate degree as the target qualification include 24 months of full-time studies (100% activity) if 0% departmental service is included, and 30 months of studies (80% activity) if 20% departmental service is included. The estimated time for a half-time seminar for a doctoral degree as the target qualification is 24-30 months. The estimated time for a half-time seminar for a licentiate degree as a target qualification is 12-15 months. The lower and upper time ranges refer to studies with 0% and 20% departmental service respectively. Note that 20% is the maximum percentage of departmental service allowed. In addition to the seminar itself, where the doctoral student presents research results and completed courses as well as other activities carried out to achieve the degree objectives, the doctoral student writes a short report on the results achieved. Two evaluators read the report, participate in the seminar and provide feedback to the doctoral student and supervisor. Details for conducting the mid-term seminar are available to all concerned on the school's intranet.

Scientific exchange and communication. Active participation in scientific exchange by presenting one's own research results at international conferences, major national conferences, workshops, summer schools or company-organised events. Active participation here means a scientific lecture, an oral research presentation in pitch format, or a poster presentation to a scientific audience.

Mid-year seminars. It is recommended that doctoral students with a doctoral degree as a target qualification also present their research results and achieved goals at so-called mid-year seminars. Mid-year seminars refer to a seminar opportunity midway between the start of the study period and the half-time seminar, and midway between the half-time seminar and the completion of the doctoral studies. The form of the mid-year seminar is determined by the doctoral student and supervisor, but should include an assessment of progress towards the degree objectives, be open to at least their own department, and conclude with a brief report written according to a template with a description of the progress of the objectives achieved, sent to the doctoral programme administrator for archiving.

# 2 Admission to education at third-cycle level (qualification etc.)

Admission to education at third-cycle level is regulated in Chapter 7, Section 40 of the Higher Education Ordinance and in the admission regulations at KTH. KTH's regulations on specific prerequisites and such abilities in other respects as are needed to assimilate the education in the relevant subject at the doctoral level are set out below.

# 2.1 Specific prerequisites

To be admitted to the third-cycle education in Fibre and Polymer Science, the applicant must have passed courses resulting in at least 60 credits at minimum second-cycle level in Fibre and Polymer Science or other subjects deemed directly relevant to the chosen specialisation. These entry requirements can be also be considered fulfilled by an applicant who has acquired essentially equivalent knowledge in arrangement.

In order to be admitted to third-cycle education in **Fibre and Polymer Science**, the applicant must have knowledge of English equivalent to English 6.

#### 2.2 Assessment criteria for testing the ability to assimilate the education

The following assessment criteria apply for testing the ability to assimilate the education:

Selection for third-cycle education is based on assessed ability to assimilate such education. The ability assessment is primarily based on having passed courses and programmes that satisfy the entry requirements. Particular consideration is given to the following:

1. Knowledge and skills relevant for thesis work and the subject. These can be shown through attached documents and a possible interview

- 2. Assessed ability to work independently
  - a. ability to formulate and tackle scientific problems
  - b. ability to communicate well in speech and writing
  - c. maturity, judgement and ability to analyse critically and independently

The assessment may be based, for example, on degree projects and discussion of these at a possible interview.

- 3. Other experience relevant for third-cycle education, e.g. professional experience.
  - These can be demonstrated through attached documents and, potentially, an

#### 3 The other regulations needed

#### 3.1 Transitional regulations

interview.

Doctoral students who have been admitted under a previous version of this syllabus have the right to change to the most recently adopted and valid general syllabus. The request to change to a later syllabus is made in writing to the Director of Third-Cycle Studies. However, a change of general syllabus mandates that the requirements for the new syllabus can be met within the time limit.

# KTH Appendix: Goals for qualification and assessment criteria

Goals according to Appendix 2 of the Degree Ordinance to the Higher Education Ordinance, including requirements specified by KTH with examples of assessment criteria that can determine whether the doctoral student has achieved the goals. *The assessment criteria in the table are examples and developed as a support and inspiration for activity descriptions in part 1.4*.

### **Degree of Doctor**

Knowledge and understanding	
Intended learning outcomes	Assessment criteria with reference to numbering in elSP
Demonstrate broad knowledge and systematic understanding of the research field as well as advanced and up-to-date specialised knowledge in a limited area of this field.	The outecome has been achieved through the doctoral student having <b>A1.1:</b> authored original scientific works where their own
	contributions are significant and identifiable. The works are of such quality that they have been published, or are expected to be published, in international scientific journals or conferences that apply peer review.
	<b>A1.2:</b> demonstrated both broad and specialised knowledge in the research area by writing a thesis in which the research results were placed and discussed in a broader perspective, and presented a reference list of others' research results that spans the relevant breadth of the research area.
	<b>A1.3:</b> demonstrated, at a seminar, a course or in the thesis or its public defence, a good ability to account for how their own research results relate to the research front within the research area, and justify how their own results advance this.
	<b>A1.4:</b> actively participated in seminar activities where their own results were presented and discussed, as well as asked questions and provided feedback on other students' and researchers' presentations.
Demonstrate familiarity with research methodology in general and the methods of	The outcome has been achieved through the doctoral student having
the specific field of research in particular.	<b>A2.1:</b> been examined with an approved result regarding intended learning outcomes in scientific methodology, which may be a course or equivalent learning element at third-cycle level.
	<b>A2.2:</b> described basic theories in scientific theory and correctly applied one or more of these in their own research.
	<b>A2.3:</b> practically applied to the research area appropriate methods and developed the ability to independently perform, interpret and critically examine the results in order to clarify whether the method and its execution were appropriate to obtain credible results that answer the scientific question.
	<b>A2.4:</b> justified their choice of method and execution in relation to the issue and to alternative methods.
	<b>A2.5:</b> described the advantages and disadvantages of different scientific methods used in their own research area, as well as the methods used in the broader definition of the research area

Competence and skills	
Intended learning outcomes	Assessment criteria with reference to numbering in eISP
Demonstrate the capacity for scholarly analysis	The outcome has been achieved through the doctoral student having
new and complex phenomena, issues and situations autonomously and critically.	<b>B1.1:</b> demonstrated the ability to independently formulate and critically analyse both existing and new complex phenomena.
	<b>B1.2:</b> presented concrete examples of scientific questions and problems of a complex nature from their own research and described how these were tested and how the results were analysed.
	<b>B1.3:</b> described the interpretation of the results and how these were combined with existing knowledge to give rise to a new explanatory model.
	<b>B1.4:</b> in cases where it is applicable, presented concrete examples of results that have given rise to falsification of a hypothesis and revision of the hypothesis.
Demonstrate the ability to identify and	The goal has been achieved through the doctoral student having
critically, autonomously and creatively, and to plan and use appropriate methods to	<b>B2.1:</b> presented examples of independently performed experiments / simulations / tasks that were preceded by detailed time planning.
undertake research and other qualified tasks within predetermined time frames and to review and evaluate such work.	<b>B2.2:</b> in cases where it is applicable, presented examples of their own hypotheses that have been tested within the framework of their own research project and described the choice of method and outcome. In cases where the result did not turn out as expected, the research student shall have reported on possible sources of error and what measures were taken to move forward in the project.
	<b>B2.3:</b> presented examples of and described and argued for the choice of methods for individual research tasks.
	<b>B2.4:</b> described how it was ensured that the education could be completed on time and whether there were obstacles to staying within the time frame, as well as what measures were taken and their outcome.
Demonstrate through a dissertation the ability	The goal has been achieved through the doctoral student having
to make a significant contribution to the formation of knowledge through his or her own research.	<b>B3.1:</b> authored original scientific works where their own contributions are significant and identifiable. The works are of such quality that they have been published, or are expected to be published, in international scientific journals or conferences that apply peer review.
	<b>B3.2:</b> authored a thesis, based on the scientific work, of good scientific and linguistic quality that was authoritatively defended and discussed in a public defence of the doctoral thesis and been examined with a pass grade by an independent examining committee.
Demonstrate the ability in both national and international contexts to present and discuss research and research findings authoritatively in speech and writing and in dialogue with the academic community and society in general.	The goal has been achieved through the doctoral student having
	<b>B4.1:</b> in cases where it is applicable, participated in national and international conferences and presented their own research results in poster form or verbally, as well as participated in scientific discussions with other researchers in the research field.
	<b>B4.2:</b> described how experience from conference or seminar presentations contributed to developing their own ability to

	communicate and defend scientific results, as well as how the presentations were received by other participants and whether valuable information could be obtained that helped their own studies progress.
	<b>B4.3:</b> been examined with a pass grade for intended learning outcomes in communication or presentation technology in a suitable compulsory or optional course at third-cycle level.
	<b>B4.4:</b> described basic concepts, tools and methods in presentation or communication technology, as well as demonstrated the ability to put the knowledge into practice by formulating different types of scientific presentation material of good quality.
	<b>B4.5:</b> presented their research results in a pedagogical way for other students and researchers at academic seminars, for a general audience or for another category of recipients, where the formulation of presentation material and speech was based on pedagogical knowledge adapted to the audience's knowledge level and also answered questions at an adequate level for the audience.
	<b>B4.6:</b> participated in outreach activities related to their own research in order to contribute to the dissemination of knowledge and exchange of knowledge with relevant stakeholder groups such as other universities, companies, authorities, schools etc.
Demonstrate the ability to identify the need for further knowledge.	The outcome has been achieved through the doctoral student having
	<b>B5.1:</b> by means of concrete examples, described how the lack of essential knowledge needed to carry out a task was rectified and how this affected the possibility of carrying out the task. This may involve widely differing tasks and knowledge, with the proviso that the third-cycle students themselves must have realised that knowledge was lacking and handled this with measures relevant to the purpose.
	<b>B5.2:</b> demonstrated insight that the knowledge front in higher education and research is in constant change and development and that definitive answers cannot always be obtained, as well as the ability to determine whether certain knowledge already exists, for example by means of thorough and critical examination of existing scientific literature.
	<b>B5.3:</b> demonstrated the ability to question, evaluate and adapt their perception of their own level of knowledge and ability in relation to the prevailing knowledge front.
Demonstrate the capacity to contribute to social development and support the learning of others both through research and education and in some other qualified professional capacity.	The outcome has been achieved through the doctoral student having
	<b>B6.1:</b> presented their research results in a pedagogical way for other students and researchers at academic seminars, for a general audience or for another category of recipients, where the formulation of presentation material and speech was based on pedagogical knowledge adapted to the audience's knowledge level and also answered questions at an adequate level for the audience.
	<b>B6.2:</b> participated in outreach activities related to their own research in order to contribute to the dissemination of knowledge and exchange of knowledge with relevant stakeholder groups such as other universities, companies, authorities, schools etc.
	<b>B6.3:</b> actively supervised other students in theoretical and / or practical projects. Third-cycle students should, with examples,

account for and reflect on various aspects of their own input, for example how the supervision was structured, whether pedagogical methodology was applied, how it was ensured that the person who was supervised understood the instructions etc. Third-cycle students should also reflect on different roles of teachers and students and how personal dynamics and supervision techniques can affect the outcome of learning and interaction.
<b>B6.4:</b> been examined with a pass grade for intended learning outcomes in teaching and learning in higher education in a suitable compulsory or optional course at third-cycle level. The third-cycle student is thus assumed to be able to describe basic concepts, materials and methods, as well as conditions for teaching and learning in higher education, as well as to analyse, evaluate and develop teaching and learning. Third-cycle student is thus also assumed to be able to show the ability to evaluate and analyse different methods and approaches in higher education and to show the ability to take a student perspective into account.
<b>B6.5:</b> demonstrated the ability to collaborate and communicate in writing and speech, undertaken tasks and assignments that were planned and completed on time and demonstrated the ability to comply with applicable rules and directives and thereby acquired general knowledge and skills required in different societal functions.

Judgement and approach	
Intended learning outcomes	Assessment criteria with reference to numbering in eISP
Demonstrate intellectual autonomy and disciplinary rectitude as well as the ability to make assessments of research ethics.	<ul> <li>The outcome has been achieved through the doctoral student having</li> <li>C1.1: demonstrated intellectual integrity in the sense that their own choices and positions have been justified and defended on the basis of independent critical thinking in relation to proven experience and scientific basis.</li> <li>C1.2: described how they ensured that their own scientific procedure in theory and practice was carried out in an honest and ethical manner.</li> <li>C1.3: reflected on possible existing or hypothetical ethical dilemmas related to their own research area or to scientific research in general, and reported on their own ethically independent stance in the</li> </ul>
	<ul> <li>existing or hypothetical situation.</li> <li>C1.4: been examined with a pass grade for intended learning outcomes in ethics in a suitable compulsory or optional course at third-cycle level. The research student is thus assumed to be able to describe basic theories in research ethics and relate these to their own approach and research work.</li> </ul>
Demonstrate specialised insight into the possibilities and limitations of research, its role in society and the responsibility of the individual for how it is used	The outcome has been achieved through the doctoral student having <b>C2.1:</b> presented concrete examples of how their own research results, and the research area in general, can contribute new knowledge to the research front in the area and justify its societal relevance. <b>C2.2:</b> critically reflected on limitations of their own research results, and the research area in general, in order to contribute to solving

societally relevant problems, as well as identify possible situations where their own research results can be used in both a positive and negative way.
<b>C2.3:</b> demonstrated good ability to reflect on how their own research results can contribute to sustainable societal development and can, where relevant, also link these to the prioritised global sustainable development goals.
<b>C2.4:</b> described how their own actions and approach take into account the concept of sustainability.
<b>C2.5:</b> been examined with a pass grade for intended learning outcomes in sustainable development in a suitable compulsory or optional course at third-cycle level. The research student is thus assumed to be able to describe basic theories in sustainability and relate these to their own approach and research work.

# Degree of Licentiate

Knowledge and understanding	
Intended learning outcomes	Assessment criteria with reference to numbering in eISP
Demonstrate knowledge and understanding in the field of research including current specialist knowledge in his or her artistic field as well as specialised knowledge of research methodology in general and the methods of the specific field of research in particular <i>Main differences in relation to the doctoral degree: For the licentiate degree, it is enough to be able to show "knowledge and understanding", as opposed to "broad and systematic understanding". Also, "deep up-to- date specialist knowledge" is replaced by "up- to-date specialist knowledge".</i>	The outcome has been achieved through the doctoral student having <b>A1.1:</b> authored original scientific works where their own contributions are significant and identifiable. The works are of such quality that they have been published, or are expected to be published, in international scientific journals or conferences that apply peer review. <b>A1.2:</b> demonstrated both broad and specialised knowledge in the research area by writing a licentiate thesis in which the research results were placed and discussed in a broader perspective, and presented a reference list of others' research results that spans the relevant breadth of the research area. <b>A1.3:</b> demonstrated, at a seminar, a course or in the licentiate thesis and its public defence, a good ability to account for how their own research results relate to the research front within the research area, and justify how their own results advance this. <b>A1.4:</b> actively participated in seminar activities where their own results were presented and discussed, as well as asked questions and provided feedback on other students' and researchers' presentations.
Competence and skills	1
Intended learning outcomes	Assessment criteria with reference to numbering in eISP
Demonstrate the ability to identify and formulate issues with scholarly precision	The goal has been achieved through the doctoral student having

critically, autonomously and creatively, and to plan and use appropriate methods to undertake a limited piece of research and other qualified tasks within predetermined time frames in order to contribute to the formation of knowledge as well as to evaluate this work <i>Main differences in relation to the doctoral</i> <i>degree: For the licentiate degree, it is</i> <i>emphasized that this is "limited research</i> <i>work" that will contribute to the development</i> <i>of knowledge, in contrast to the doctoral</i> <i>degree where one must be able to show the</i> <i>ability to "conduct research".</i>	<ul> <li>B1.1: demonstrated the ability to independently formulate and critically analyse both existing and new complex phenomena.</li> <li>B1.2: presented examples of their own questions that were tested within the framework of their own research project, as well as described the choice of method and outcome. In cases where the result did not turn out as expected, the research student shall have reported on possible sources of error and what measures were taken to move forward in the project.</li> <li>B1.3: presented examples of independently performed experiments / simulations / tasks that were preceded by detailed time planning.</li> <li>B1.4: presented examples of and described and argued for the choice of methods for individual experiments.</li> <li>B1.5: described how it was ensured that the education could be completed on time and whether there were obstacles to staying within the time frame, as well as what measures were taken and their</li> </ul>
	outcome.
Demonstrate the ability in both national and international contexts to present and discuss research and research findings in speech and	The goal has been achieved through the doctoral student having <b>B2.1:</b> in cases where it is applicable, participated in national and
writing and in dialogue with the academic community and society in general.	international conferences and presented their own research results in poster form or verbally, as well as participated in scientific discussions with other researchers in the research field.
Main differences in relation to the doctoral degree: The licentiate degree requires the student to communicate their research "clearly", as opposed to communicating "with authority".	<b>B2.2:</b> described how experience from conference or seminar presentations contributed to developing their own ability to communicate and defend scientific results, as well as how the presentations were received by other participants and whether valuable information could be obtained that helped their own studies progress.
	<b>B2.3:</b> been examined with a pass grade for intended learning outcomes in communication or presentation technology in a suitable compulsory or optional course at third-cycle level.
	<b>B2.4:</b> described basic concepts, tools and methods in presentation or communication technology, as well as demonstrated the ability to put the knowledge into practice by formulating different types of scientific presentation material of good quality.
	<b>B2.5:</b> presented their research results in a pedagogical way for other students and researchers at academic seminars, for a general audience or for another category of recipients, where the formulation of presentation material and speech was based on pedagogical knowledge adapted to the audience's knowledge level and also answered questions at an adequate level for the audience.
	<b>B2.6:</b> participated in outreach activities related to their own research in order to contribute to the dissemination of knowledge and exchange of knowledge with relevant stakeholder groups such as other universities, companies, authorities, schools etc.
Demonstrate the skills required to participate	The goal has been achieved through the doctoral student having
work and to work autonomously in some other qualified capacity	<b>B3.1:</b> authored original scientific works where their own contributions are significant and identifiable. The works are of such quality that they have been published, or are expected to be
Main differences in relation to the doctoral degree: The doctoral student's future contribution to society through research and	<ul><li>published, in international scientific journals or conferences that apply peer review.</li><li>B3.2: authored a licentiate thesis based on their own studies of good</li></ul>

education is toned down and the focus is on the doctoral student being able to work on activities that require skills in research work but not a doctoral degree.	scientific and linguistic quality that have been defended and discussed at a licentiate seminar and examined and given a pass grade by an independent examiner.
Judgement and approach	
Intended learning outcomes	Assessment criteria with reference to numbering in eISP
Demonstrate the ability to make assessments of ethical aspects of his or her own research. Main differences in relation to the doctoral degree: The ability to make ethical research assessments is limited to their own research and not in general.	<ul> <li>The goal has been achieved through the doctoral student having</li> <li>C1.1: demonstrated intellectual integrity in the sense that their own choices and positions have been justified and defended on the basis of independent critical thinking in relation to proven experience and scientific basis.</li> <li>C1.2: described how they ensured that their own scientific procedure in theory and practice was carried out in an honest and ethical manner.</li> <li>C1.3: reflected on possible existing or hypothetical ethical dilemmas related to their own research area or to scientific research in general, and reported on their own ethically independent stance in the existing or hypothetical situation.</li> <li>C1.4: been examined with a pass grade for intended learning outcomes in ethics in a suitable compulsory or optional course at third-cycle level. The research student is thus assumed to be able to describe basic theories in research ethics and relate these to their own approach and research work.</li> </ul>
Demonstrate insight into the possibilities and limitations of research, its role in society and the responsibility of the individual for how it is used. Main differences in relation to the doctoral degree: For the licentiate degree, only "insight" is required, as opposed to "in-depth insight" for the doctoral degree.	<ul> <li>The goal has been achieved through the doctoral student having</li> <li>C2.1: presented concrete examples of how their own research results, and the research area in general, can contribute new knowledge to the research front in the area and justify its societal relevance.</li> <li>C2.2: critically reflected on limitations of their own research results, and the research area in general, in order to contribute to solving societally relevant problems, as well as identify possible situations where their own research results can be used in both a positive and negative way.</li> <li>C2.3: demonstrated good ability to reflect on how their own research results can contribute to sustainable societal development and can, where relevant, also link these to the prioritised global sustainable development goals.</li> <li>C2.4: described how their own actions and approach take into account the concept of sustainability.</li> </ul>
Demonstrate the ability to identify the personal need for further knowledge and take responsibility for his or her ongoing learning. <i>Main differences in relation to the doctoral</i> <i>degree: The same requirement to be able to</i> <i>identify the need for additional knowledge</i> <i>with the addition of being able to take</i> <i>responsibility for their own knowledge</i>	<b>C3.1:</b> by means of concrete examples, described how the lack of essential knowledge needed to carry out a task was rectified and how this affected the possibility of carrying out the task. This may involve widely differing tasks and knowledge, with the proviso that the third-cycle students themselves must have realised that knowledge was lacking and handled this with measures relevant to the purpose. <b>C3.2:</b> demonstrated insight that the knowledge front in higher education and research is in constant change and development and

development, which may be considered to be implied for a doctoral degree.	that definitive answers cannot always be obtained, as well as the ability to determine whether certain knowledge already exists, for example by means of thorough and critical examination of existing scientific literature.
	<b>C3.3:</b> demonstrated the ability to question, evaluate and adapt their perception of their own level of knowledge and ability in relation to the prevailing knowledge front.